

UPDATION OF CLIENT DETAILS IN UCC DATABASE

FOR INDIVIDUAL

To,
MLB Stock Broking Private Limited
 Door No. C-03, 3rd floor, CYB6 (Manohar) Tower,
 RIICO Cyber Park, Heavy Industrial Area,
 Near Saras Dairy, Jodhpur – 342003

Date: DD/MM/YYYY

Sub: Updation of details of my trading account no. _____

Dear Sir/Madam,

I am furnishing my updated details with respect to my application for addition/modification/rectification of KYC details in UCC database.

Client Name					
Address ☐ Change* ☐ No change		Contact Details ☐ Change* ☐ No change		Residential Status ☐ Change* ☐ No change	
Occupation Type ☐ Change* ☐ No change					
Annual Income Details (In Rs.) OR Net Worth (proof enclosed)		☐ Below 1Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ >25 Lac OR Rs. _____			
		☐ DD/MM/YYYY			
☐ Salary Slip ☐ Net worth certificate ☐ Copy of latest Demat account Holding statement		☐ Copy of Annual Accounts ☐ Copy of ITR Acknowledgement ☐ Any other relevant documents substantiating ownership of assets		☐ Copy of Form 16 in case of salary income ☐ Bank account statement for last 6 months	
Politically Exposed Person (PEP)		☐ Not a PEP ☐ PEP ☐ Related to a PEP			
Bank Account Details ☐ Change ☐ Addition ☐ No change		Bank Name			
		Branch Add.			
		A/c. No.		A/c. type	
		MICR No.		IFSC Code	
Depository Account Details ☐ Change ☐ Addition ☐ No change		DP Name		☐ NSDL ☐ CDSL	
		DP ID		Client ID	
Mode of receiving Contract Notes and other documents		☐ Physical ☐ Electronic / Digital			
		Email ID: _____			

*In case of change, please fill KYC form.

DECLARATION		 Client's Signature
I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.		
FOR OFFICE USE ONLY		
The details furnished above have been successfully updated in KYC record and exchange UCC database. Date: <u>DD/MM/YYYY</u> Place: Jodhpur		

MLB STOCK BROKING PRIVATE LIMITED

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

Important Instructions:

- A) Fields marked with (*) are mandatory fields.
 B) Tick '✓' wherever applicable.
 C) Please fill the form in English and in BLOCK letters.
 D) Please fill the date in DD-MM-YYYY format.
 E) For particular section update, please tick (✓) in the box section number and strike off the sections not required to be update.
 F) Please read section wise detailed guidelines / instructions at the end.
 G) List of State /U.T. code as per Indian Motor Vehicle Act. 1988 is available at the end.
 H) List of two character ISO 3166 country codes is available at the end.
 I) KYC number of applicant is mandatory for update application.
 J) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode



For office use only

Application Type*

☐ New☐ Update

(To be filled by financial institution)

KYC Number

(Mandatory for KYC update request)

Account Type*

☐ Normal☐ Minor☐ Aadhaar OTP based E-KYC (in non-face to face mode)☐ 1. PERSONAL DETAILS

	Prefix	First Name	Middle Name	Last Name
☐ Name* (Same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				
Date of Birth*	- -			
Gender*	☐ M- Male	☐ F- Female	☐ T-Transgender	
PAN*		☐ Form 60 furnished		
Marital Status*	☐ Married	☐ Unmarried	☐ Others	
Citizenship*	☐ IN- Indian	☐ Others		
Residential Status*	☐ Resident Individual	☐ Non Resident Indian		
	☐ Foreign National	☐ Person of Indian Origin		
Occupation Type*	☐ S-Service (☐ Private Sector	☐ Public Sector	☐ Government Sector)	
	☐ O-Others (☐ Professional	☐ Self Employed	☐ Retired ☐ Housewife ☐ Student)	
	☐ B-Business			
	☐ X- Not Categorised			

PHOTO

 (1) 

☐ 2. PROOF OF IDENTITY AND ADDRESS* (Please refer instruction B at the end)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital kyc process needs to be submitted (anyone of the following OVDs)

☐ A-Passport Number	
☐ B-Voter ID card	
☐ C-Driving Licence	
☐ D-NREGA Job Card	
☐ E-National Population Register Letter	
☐ F-Proof of Possession of Aadhaar	
II. ☐ E-KYC Authentication	
III. ☐ Offline verification of Aadhaar	

Address

Line 1*	
Line 2	
Line 3	
District*	
City / Town / Village*	
Pin/Post Code	
State/U.T Code*	
ISO 3166 Country Code*	

☐ 3. CURRENT ADDRESS DETAILS (Please refer instruction B at the end)

Same as above mentioned address (In such cases address details as below need not be provided)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital kyc process needs to be submitted (anyone of the following OVDs)

☐ A-Passport Number	
☐ B-Voter ID card	
☐ C-Driving Licence	
☐ D-NREGA Job Card	
☐ E-National Population Register Letter	
☐ F-Proof of Possession of Aadhaar	
II. ☐ E-KYC Authentication	
III. ☐ Offline verification of Aadhaar	
IV. ☐ Deemed Proof of Address - Document Type code	
V. ☐ Self Declaration	

Address

Line 1*																						
Line 2																						
Line 3																City / Town / Village*						
District*											Pin/Post Code						State/U.T Code*			ISO 3166 Country Code*		

☐ **4. CONTACT DETAILS** (All communication will be send to Mobile number/Email-ID provided) (Please refer instruction **C** at the end)

Tel. (Off)									Tel. (Res.)					Mobile						
Email ID																				

☐ **5. REMARKS** (If any)

☐ **6. REMARKS** (If any)

☐ **6. APPLICANT DECLARATION**

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : DD - MM - 20YY

Place :

(2) **9. ATTESTATION / FOR OFFICE USE ONLY**

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Office verification
☐ Digital KYC Process ☐ Equivalent e-document ☐ Video Based KYC

INSTITUTION DETAILS A KYC VERIFICATION CARRIED OUT BYName **MLB STOCK BROKING PRIVATE LIMITED** Code

Date DD - MM - 20YY

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

[Employee Signature]

☐ **IN-PERSON VERIFICATION (IPV)**☐ **DOCUMENTS VERIFIED WITH ORIGINALS**☐ **CLIENT INTERVIEWED BY**

Date: dd / mm / 20yy

Employee / AP Details:

Name: _____

Code: _____

Designation: _____

Signature: _____